

STATEMENT OF ECONOMIC INTERESTS  
FAIR POLITICAL PRACTICES COMMISSION  
COVER PAGE

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2012 MAR -1 PM 4:47

NAME OF FILER

(LAST)

(FIRST)

(MIDDLE)

Walters

Mimi

K

1. Office, Agency, or Court

Agency Name

State Senate

State Senator

Division, Board, Department, District, if applicable

Your Position

District 33

► If filing for multiple positions, list below or on an attachment.

Agency: \_\_\_\_\_

Position: \_\_\_\_\_

2. Jurisdiction of Office (Check at least one box)

☒ State

☐ Judge or Court Commissioner (Statewide Jurisdiction)

☐ Multi-County \_\_\_\_\_

☐ County of \_\_\_\_\_

☐ City of \_\_\_\_\_

☐ Other \_\_\_\_\_

3. Type of Statement (Check at least one box)

☒ Annual: The period covered is January 1, 2011, through December 31, 2011.

☐ Leaving Office: Date Left \_\_\_\_/\_\_\_\_/\_\_\_\_  
(Check one)

-or-

The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through December 31, 2011.

☐ The period covered is January 1, 2011, through the date of leaving office.

☐ Assuming Office: Date assumed \_\_\_\_/\_\_\_\_/\_\_\_\_

☐ The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through the date of leaving office.

☐ Candidate: Election Year \_\_\_\_\_ Office sought, if different than Part 1: \_\_\_\_\_

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: \_\_\_\_\_

☒ Schedule A-1 - Investments - schedule attached

☐ Schedule C - Income, Loans, & Business Positions - schedule attached

☒ Schedule A-2 - Investments - schedule attached

☒ Schedule D - Income - Gifts - schedule attached

☐ Schedule B - Real Property - schedule attached

☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

☐ None - No reportable interests on any schedule

I certify under penalty of perjury under the laws of the State of California that the information and in any attached schedules is true and complete. I acknowledge this is

I certify under penalty of perjury under the laws of the State of California that

Date Signed 2/29/2012  
(month, day, year)

Signature

**SCHEDULE A-1****Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Mimi Walters

▶ NAME OF BUSINESS ENTITY  
Northwest Venture Association

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
VC Fund

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_  
 (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
CRI Bridge Fund

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
VC Fund

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_  
 (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Madrona Partners

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
VC Fund

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_  
 (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
Digital Map

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Services

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_  
 (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
CRI Partners

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
VC Fund

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_  
 (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
GVI

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
VC Fund

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_  
 (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

Comments: \_\_\_\_\_

## Investments

## Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

*Do not attach brokerage or financial statements.*

Name

Mimi Walters

▶ NAME OF BUSINESS ENTITY  
**Management Energy, Inc. (MMEX)**

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GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**Energy**

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FAIR MARKET VALUE

☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000

☒ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_  
(Describe)

☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11

ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
\*Trans-Pacific Aerospace Co. (TPAC)

---

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Aerospace

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FAIR MARKET VALUE

☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☒ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_  
(Describe)

☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY  
**Axiologix Education Corp (AXLX)**

---

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**Educational Software**

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FAIR MARKET VALUE

☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☒ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_  
(Describe)

☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_\_/\_\_\_\_\_/11      \_\_\_\_/\_\_\_\_\_/11  
ACQUIRED      DISPOSED

► NAME OF BUSINESS ENTITY  
**Comcast Corporation**

---

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**Cable/Telecommunications**

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FAIR MARKET VALUE

☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000

☒ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☐ Stock      ☒ Other **Bonds**

(Describe)

☐ Partnership      ☐ Income Received of \$0 - \$499

☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11

ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**Goldman Sachs**

---

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**Investment**

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FAIR MARKET VALUE

☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000

☒ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☐ Stock      ☒ Other **Bonds**

(Describe)

☐ Partnership      ☐ Income Received of \$0 - \$499

☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

       /        / 11             /        / 11

ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY  
**Tyco International**

---

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**Manufacturing/Services**

---

FAIR MARKET VALUE

☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☒ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☐ Stock      ☒ Other **Bonds**

(Describe)

☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

       /        / **11**             /        / **11**  
ACQUIRED                      DISPOSED

Comments: \*Previously Pinnacle Energy Corp. 7/10

# **SCHEDULE A-2** **Investments, Income, and Assets** **of Business Entities/Trusts** (Ownership Interest is 10% or Greater)

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION Name <u>MIMI WALTERS</u>
--

**1. BUSINESS ENTITY OR TRUST**

Name  
STI GROUP

Address (Business Address Acceptable)  
30950 RANCHO VICTORIA #120, STC 92605

Check one  
☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
HOLDING COMPANY, COMMUNICATIONS

**FAIR MARKET VALUE** IF APPLICABLE, LIST DATE:  
☒ \$0 - \$1,999 11 / 11 / 11  
☐ \$2,000 - \$10,000 11 / 11 / 11  
☐ \$10,001 - \$100,000 11 / 11 / 11  
☐ \$100,001 - \$1,000,000 11 / 11 / 11  
☐ Over \$1,000,000 11 / 11 / 11

**NATURE OF INVESTMENT**  
☐ Sole Proprietorship ☐ Partnership ☒ MAJORITY SHAREHOLDER  
 Other

**YOUR BUSINESS POSITION**

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

☒ \$0 - \$499 ☐ \$10,001 - \$100,000  
☐ \$500 - \$1,000 ☐ OVER \$100,000  
☐ \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)**

SEE LIST

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
☒ INVESTMENT ☐ REAL PROPERTY

SOLANA TECHNOLOGIES

Name of Business Entity, if Investment, or  
 Assessor's Parcel Number or Street Address of Real Property

ENGINEERING

Description of Business Activity or  
 City or Other Precise Location of Real Property

**FAIR MARKET VALUE** IF APPLICABLE, LIST DATE:  
☒ \$2,000 - \$10,000 11 / 11 / 11  
☐ \$10,001 - \$100,000 11 / 11 / 11  
☐ \$100,001 - \$1,000,000 11 / 11 / 11  
☐ Over \$1,000,000 11 / 11 / 11

**NATURE OF INTEREST**  
☐ Property Ownership/Deed of Trust ☒ Stock ☐ Partnership  
☐ Leasehold Yrs. remaining ☐ Other

☐ Check box if additional schedules reporting investments or real property are attached

Comments:

**1. BUSINESS ENTITY OR TRUST**

Name

Address (Business Address Acceptable)

Check one  
☐ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

**FAIR MARKET VALUE** IF APPLICABLE, LIST DATE:  
☐ \$0 - \$1,999 11 / 11 / 11  
☐ \$2,000 - \$10,000 11 / 11 / 11  
☐ \$10,001 - \$100,000 11 / 11 / 11  
☐ \$100,001 - \$1,000,000 11 / 11 / 11  
☐ Over \$1,000,000 11 / 11 / 11

**NATURE OF INVESTMENT**  
☐ Sole Proprietorship ☐ Partnership ☐ Other

**YOUR BUSINESS POSITION**

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

☐ \$0 - \$499 ☐ \$10,001 - \$100,000  
☐ \$500 - \$1,000 ☐ OVER \$100,000  
☐ \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)**

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
☒ INVESTMENT ☐ REAL PROPERTY

SYSTEMS EVOLUTION

Name of Business Entity, if Investment, or  
 Assessor's Parcel Number or Street Address of Real Property

ENGINEERING SERVICES

Description of Business Activity or  
 City or Other Precise Location of Real Property

**FAIR MARKET VALUE** IF APPLICABLE, LIST DATE:  
☒ \$2,000 - \$10,000 11 / 11 / 11  
☐ \$10,001 - \$100,000 11 / 11 / 11  
☐ \$100,001 - \$1,000,000 11 / 11 / 11  
☐ Over \$1,000,000 11 / 11 / 11

**NATURE OF INTEREST**  
☐ Property Ownership/Deed of Trust ☒ Stock ☐ Partnership  
☐ Leasehold Yrs. remaining ☐ Other

☐ Check box if additional schedules reporting investments or real property are attached

STI Group, Inc.

(includes wholly owned subsidiaries Systems Evolution, Inc. and Solana Technologies, Inc.)

David Walters Ownership Interest	95.00%
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Mimi Walters Community Property Interest	47.50%
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Threshold for Disclosure	\$21,052.63
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**Customers that Provided Gross Revenue of \$21,052.63 or Greater:**

Agile Consulting, LLC

Texas Commission on Environmental Quality

**Lending Institutions that Provided Loans of \$21,052.63 or Greater:**

None

**SCHEDULE A-2**  
**Investments, Income, and Assets**  
**of Business Entities/Trusts**  
 (Ownership Interest is 10% or Greater)

**CALIFORNIA FORM 700**  
 FAIR POLITICAL PRACTICES COMMISSION

Name  
MIMI WALTERS

**1. BUSINESS ENTITY OR TRUST**  
CON MV, LLC  
 Name  
30950 RANCHO VISTO R#120, STC 149267  
 Address (Business Address Acceptable)  
 Check one  
☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
ADVERTISING

**FAIR MARKET VALUE** IF APPLICABLE, LIST DATE:  
☒ \$0 - \$1,999 1/11 1/11  
☐ \$2,000 - \$10,000 ACQUIRED DISPOSED  
☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

**NATURE OF INVESTMENT**  
☐ Sole Proprietorship ☒ Partnership ☐ Other

**YOUR BUSINESS POSITION**

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**  
☒ \$0 - \$499 ☐ \$10,001 - \$100,000  
☐ \$500 - \$1,000 ☐ OVER \$100,000  
☐ \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)**

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**  
 Check one box:  
☐ INVESTMENT ☐ REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

**FAIR MARKET VALUE** IF APPLICABLE, LIST DATE:  
☐ \$2,000 - \$10,000 1/11 1/11  
☐ \$10,001 - \$100,000 ACQUIRED DISPOSED  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

**NATURE OF INTEREST**  
☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership  
☐ Leasehold Yrs. remaining ☐ Other

☐ Check box if additional schedules reporting investments or real property are attached

**Comments:**

**1. BUSINESS ENTITY OR TRUST**  
 Name  
 Address (Business Address Acceptable)  
 Check one  
☐ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

**FAIR MARKET VALUE** IF APPLICABLE, LIST DATE:  
☐ \$0 - \$1,999 1/11 1/11  
☐ \$2,000 - \$10,000 ACQUIRED DISPOSED  
☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

**NATURE OF INVESTMENT**  
☐ Sole Proprietorship ☐ Partnership ☐ Other

**YOUR BUSINESS POSITION**

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**  
☐ \$0 - \$499 ☐ \$10,001 - \$100,000  
☐ \$500 - \$1,000 ☐ OVER \$100,000  
☐ \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)**

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**  
 Check one box:  
☐ INVESTMENT ☐ REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

**FAIR MARKET VALUE** IF APPLICABLE, LIST DATE:  
☐ \$2,000 - \$10,000 1/11 1/11  
☐ \$10,001 - \$100,000 ACQUIRED DISPOSED  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

**NATURE OF INTEREST**  
☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership  
☐ Leasehold Yrs. remaining ☐ Other

☐ Check box if additional schedules reporting investments or real property are attached

**SCHEDULE A-2**  
**Investments, Income, and Assets**  
**of Business Entities/Trusts**  
 (Ownership Interest is 10% or Greater)

**CALIFORNIA FORM 700**  
 FPPC POLITICAL PRACTICES COMMISSION

Name  
MIMI WALTERS

1. BUSINESS ENTITY OR TRUST

Name DAVIS A WALTERS & MIRIAM K WALTERS TRUST  
OF THE DRW 5000  
3 INSPIRATION PT, LAGUNA NIGUEL TRUST  
 Address (Business Address Acceptable) CA 92677

Check one  
☒ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

☐ \$0 - \$1,999 ☐ 1/11 ☐ 1/11  
☐ \$2,000 - \$10,000 ☐ ACQUIRED ☐ DISPOSED  
☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Sole Proprietorship ☐ Partnership ☐ Other

YOUR BUSINESS POSITION

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

☐ \$0 - \$499 ☐ \$10,001 - \$100,000  
☐ \$500 - \$1,000 ☒ OVER \$100,000  
☐ \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST

Check one box:  
☐ INVESTMENT ☒ REAL PROPERTY

1411 HERMES AVE, ENCINITAS CA  
 Name of Business Entity, if Investment, or  
 Assessor's Parcel Number or Street Address of Real Property 92024

APARTMENT BUILDING  
 Description of Business Activity or  
 City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

☐ \$2,000 - \$10,000 ☐ 1/11 ☐ 1/11  
☐ \$10,001 - \$100,000 ☐ ACQUIRED ☐ DISPOSED  
☒ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INTEREST  
☐ Property Ownership/Deed of Trust ☐ Stock ☒ Partnership  
☐ Leasehold ☐ Other  
 Yrs remaining

☐ Check box if additional schedules reporting investments or real property are attached

Comments:

1. BUSINESS ENTITY OR TRUST

Name MONARCH BAY CAPITAL GROUP  
3 INSPIRATION PT, LAGUNA NIGUEL CA  
 Address (Business Address Acceptable) 92677

Check one  
☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

CONSULTING

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

☐ \$0 - \$1,999 ☐ 1/11 ☐ 1/11  
☐ \$2,000 - \$10,000 ☐ ACQUIRED ☐ DISPOSED  
☐ \$10,001 - \$100,000  
☒ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Sole Proprietorship ☐ Partnership ☐ Other

YOUR BUSINESS POSITION SPOUSE INCOME

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

☐ \$0 - \$499 ☐ \$10,001 - \$100,000  
☐ \$500 - \$1,000 ☒ OVER \$100,000  
☐ \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary)

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST

Check one box:  
☐ INVESTMENT ☐ REAL PROPERTY

Name of Business Entity, if Investment, or  
 Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or  
 City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

☐ \$2,000 - \$10,000 ☐ 1/11 ☐ 1/11  
☐ \$10,001 - \$100,000 ☐ ACQUIRED ☐ DISPOSED  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INTEREST  
☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership  
☐ Leasehold ☐ Other  
 Yrs remaining

☐ Check box if additional schedules reporting investments or real property are attached

**SCHEDULE A-2**  
**Investments, Income, and Assets**  
**of Business Entities/Trusts**  
 (Ownership Interest is 10% or Greater)

**CALIFORNIA FORM 700**  
 FAIR FINANCIAL PRACTICES COMMISSION  
 Name  
MIMI WALTERS

**1. BUSINESS ENTITY OR TRUST**  
 Name  
BONDS MOBILE SYSTEMS, INC  
 Address (Business Address Acceptable)  
30950 RANCHO VIEJO BLVD #120, STE, CA 92625  
 Check one  
☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
GPS TRACKING  
 FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
☒ \$0 - \$1,999 11 11  
☐ \$2,000 - \$10,000 ACQUIRED DISPOSED  
☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000  
 NATURE OF INVESTMENT  
☐ Sole Proprietorship ☐ Partnership ☒ MAJORITY SHAREHOLDER  
 Other  
 YOUR BUSINESS POSITION \_\_\_\_\_

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**  
☒ \$0 - \$499 ☐ \$10,001 - \$100,000  
☐ \$500 - \$1,000 ☐ OVER \$100,000  
☐ \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (include a corporate trust if applicable)**

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
☐ INVESTMENT ☐ REAL PROPERTY

Name of Business Entity, if Investment, or  
 Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or  
 City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
☐ \$2,000 - \$10,000 11 11  
☐ \$10,001 - \$100,000 ACQUIRED DISPOSED  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INTEREST  
☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership

☐ Leasehold Yrs. remaining ☐ Other \_\_\_\_\_

☐ Check box if additional schedules reporting investments or real property are attached

Comments:

**1. BUSINESS ENTITY OR TRUST**  
 Name  
CHARAFF PARTNERS, LLC  
 Address (Business Address Acceptable)  
30950 RANCHO VIEJO BLVD #120, STE, CA 92625  
 Check one  
☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**  
CONSULTING  
 FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
☐ \$0 - \$1,999 11 11  
☐ \$2,000 - \$10,000 ACQUIRED DISPOSED  
☐ \$10,001 - \$100,000  
☒ \$100,001 - \$1,000,000  
☐ Over \$1,000,000  
 NATURE OF INVESTMENT  
☐ Sole Proprietorship ☒ Partnership ☐ Other  
 YOUR BUSINESS POSITION \_\_\_\_\_

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**  
☐ \$0 - \$499 ☐ \$10,001 - \$100,000  
☐ \$500 - \$1,000 ☒ OVER \$100,000  
☐ \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (include a corporate trust if applicable)**

SEE ATTACHED LIST

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
☐ INVESTMENT ☐ REAL PROPERTY

Name of Business Entity, if Investment, or  
 Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or  
 City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
☐ \$2,000 - \$10,000 11 11  
☐ \$10,001 - \$100,000 ACQUIRED DISPOSED  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INTEREST  
☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership

☐ Leasehold Yrs. remaining ☐ Other \_\_\_\_\_

☐ Check box if additional schedules reporting investments or real property are attached



Cardiff Partners, LLC

David Walters Ownership Interest	50.00%
Mimi Walters Community Property Interest	25.00%

Threshold for Disclosure	\$40,000.00
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**Customers that Provided Gross Revenue of \$40,000.00 or Greater:**

American Healthcare Recruiting, Inc

Axiologix Education Corporation

CommerceTel Corporation

Monarch Staffing, Inc.

S&W Seed Company

**Lending Institutions that Provided Loans of \$40,000.00 or Greater:**

Partners Bank of California

**SCHEDULE A-2**  
**Investments, Income, and Assets**  
**of Business Entities/Trusts**  
 (Ownership Interest is 10% or Greater)

**CALIFORNIA FORM 700**  
 FAIR POLITICAL PRACTICES COMMISSION

Name  
MIMI WALTERS

1. BUSINESS ENTITY OR TRUST

MONARCH STAFFING, INC.

Name  
30950 RANCHO VISTA RD #120, STCA 92675

Address (Business Address Acceptable)

Check one  
☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

☒ \$0 - \$1,999 11 11  
 ACQUIRED DISPOSED

☐ \$2,000 - \$10,000  
☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Sole Proprietorship ☐ Partnership ☒ SIGNIFICANT SHAREHOLDER  
 Other

YOUR BUSINESS POSITION

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

☐ \$0 - \$499 ☐ \$10,001 - \$100,000  
☐ \$500 - \$1,000 ☒ OVER \$100,000  
☐ \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (attach a separate sheet if necessary)

SEE ATTACHED LIST

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST

Check one box:  
☒ INVESTMENT ☐ REAL PROPERTY

ARUC CONSULTANTS INTERNATIONAL, INC.

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

HOLING CO. OWNS 100% OF CONSULTANTS

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

☒ \$2,000 - \$10,000 11 11  
 ACQUIRED DISPOSED

☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INTEREST  
☐ Property Ownership/Deed of Trust ☒ Stock ☐ Partnership

☐ Leasehold Yrs. remaining ☐ Other

☐ Check box if additional schedules reporting investments or real property are attached

Comments:

1. BUSINESS ENTITY OR TRUST

ARUC CONSULTANTS INTERNATIONAL, INC.

Name  
30950 RANCHO VISTA RD #120, STCA 92675

Address (Business Address Acceptable)

Check one  
☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

HOLING CO.

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

☒ \$0 - \$1,999 11 11  
 ACQUIRED DISPOSED

☐ \$2,000 - \$10,000  
☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Sole Proprietorship ☐ Partnership ☒ OWNER  
 Other

YOUR BUSINESS POSITION

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

☐ \$0 - \$499 ☐ \$10,001 - \$100,000  
☐ \$500 - \$1,000 ☒ OVER \$100,000  
☐ \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (attach a separate sheet if necessary)

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST

Check one box:  
☒ INVESTMENT ☐ REAL PROPERTY

ARUC CONSULTANTS, INC.

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

NURSE & PHARMACIST REGISTRY

Description of Business Activity or City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

☒ \$2,000 - \$10,000 11 11  
 ACQUIRED DISPOSED

☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INTEREST  
☐ Property Ownership/Deed of Trust ☒ Stock ☐ Partnership

☐ Leasehold Yrs. remaining ☐ Other

☐ Check box if additional schedules reporting investments or real property are attached

**SCHEDULE A-2**  
**Investments, Income, and Assets**  
**of Business Entities/Trusts**  
 (Ownership Interest is 10% or Greater)

CALIFORNIA FORM **700**  
 FAIR POLITICAL PRACTICES COMMISSION

Name

MIMI WALTERS

► 1. BUSINESS ENTITY OR TRUST

MUNARCH STAFFING, INC. (CONT.)

Name

36950 RANCHO VISTA RD. #120, SECA 9025

Address (Business Address Acceptable)

Check one

☐ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

☐ \$0 - \$1,999 ☐ 11/11

☐ \$2,000 - \$10,000 ☐ 11/11

☐ \$10,001 - \$100,000 ☐ ACQUIRED ☐ DISPOSED

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☐ Sole Proprietorship ☐ Partnership ☐ Other

YOUR BUSINESS POSITION

► 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

- ☐ \$0 - \$499 ☐ \$10,001 - \$100,000
- ☐ \$500 - \$1,000 ☐ OVER \$100,000
- ☐ \$1,001 - \$10,000

► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (attach a separate sheet if necessary)

► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST

Check one box:

☒ INVESTMENT ☐ REAL PROPERTYAMERICAN HEALTHCARE RECRUITINGName of Business Entity, if Investment, or  
Assessor's Parcel Number or Street Address of Real PropertyHEALTHCARE STAFFING CO.Description of Business Activity or  
City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

☒ \$2,000 - \$10,000 ☐ 11/11

☐ \$10,001 - \$100,000 ☐ 11/11

☐ \$100,001 - \$1,000,000 ☐ ACQUIRED ☐ DISPOSED

☐ Over \$1,000,000

NATURE OF INTEREST

☐ Property Ownership/Deed of Trust ☒ Stock ☐ Partnership☐ Leasehold ☐ Other☐ Check box if additional schedules reporting investments or real property are attached

Comments:

► 1. BUSINESS ENTITY OR TRUST

Name

Address (Business Address Acceptable)

Check one

☐ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

☐ \$0 - \$1,999 ☐ 11/11

☐ \$2,000 - \$10,000 ☐ 11/11

☐ \$10,001 - \$100,000 ☐ ACQUIRED ☐ DISPOSED

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☐ Sole Proprietorship ☐ Partnership ☐ Other

YOUR BUSINESS POSITION

► 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

- ☐ \$0 - \$499 ☐ \$10,001 - \$100,000
- ☐ \$500 - \$1,000 ☐ OVER \$100,000
- ☐ \$1,001 - \$10,000

► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (attach a separate sheet if necessary)

► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST

Check one box:

☐ INVESTMENT ☐ REAL PROPERTYName of Business Entity, if Investment, or  
Assessor's Parcel Number or Street Address of Real PropertyDescription of Business Activity or  
City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

☐ \$2,000 - \$10,000 ☐ 11/11

☐ \$10,001 - \$100,000 ☐ 11/11

☐ \$100,001 - \$1,000,000 ☐ ACQUIRED ☐ DISPOSED

☐ Over \$1,000,000

NATURE OF INTEREST

☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership☐ Leasehold ☐ Other☐ Check box if additional schedules reporting investments or real property are attached

Monarch Staffing, Inc. (Previously MT Ultimate Healthcare)

(includes wholly owned subsidiaries Drug Consultants Int'l, Drug Consultants and American Healthcare Recruiting)

David Walters Ownership Interest	40.10%
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Mimi Walters Community Property Interest	20.05%
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Threshold for Disclosure	\$49,875.31
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**Customers that Provided Gross Revenue of \$49,875.31 or Greater:**

**Below are customers of Drug Consultants, Inc. (a subsidiary of Monarch Staffing, Inc.)**

Chuckawalla State Prison

California Men's Colony San Luis Obispo

California Mens Facility Vacaville

Corcoran State Prison

Correctional Training Facility Soledad

California Rehabilitation Center Norco

California Substance Abuse Treatment Center

California State Prison Solano

Deuel Vocational Institution

High Desert State Prison

Ironwood State Prison

Kern Valley State Prison

Mule Creek State Prison

North Kern State Prison

R.J. Donovan Correctional Facility

Salinas Valley Prison

San Quentin State Prison

Valley State Prison For Women

Wasco State Prison

**Below are customers of American Healthcare Recruiting, Inc. (a subsidiary of Monarch Staffing, Inc.)**

Anesthesiologist Associates, Inc.

Coalinga State Prison

Corcoran State Prison

California Substance Abuse Treatment Center

High Desert State Prison

Kern Valley State Prison

North Kern State Prison

Pelican Bay State Prison

Rapid City Regional Hospital

R.J. Donovan Correctional Facility

California State Prison - Sacramento

Sonora Regional Medical Center

San Quentin State Prison

Salinas Valley State Prison

Warren Clinic, Inc

**Lending Institutions that Provided Loans of \$49,875.31 or Greater:**

**Below are Lending Institutions of Drug Consultants, Inc. (a subsidiary of Monarch Staffing, Inc.)**

Crestmark Bank Company

**Below are Lending Institutions of American Healthcare Recruiting, Inc. (a subsidiary of Monarch Staffing, Inc.)**

Advanced Payroll Funding, LTD

**SCHEDULE A-2**  
**Investments, Income, and Assets**  
**of Business Entities/Trusts**  
 (Ownership Interest is 10% or Greater)

**CALIFORNIA FORM 700**  
 FAIR POLITICAL PRACTICES COMMISSION

Name  
MIMI WALTERS

► 1. BUSINESS ENTITY OR TRUST

MONARCH BAY ASSOCIATES, LLC  
 Name  
30950 RANCHO VIEJO RD #120, STE A  
 Address (Business Address Acceptable)  
92675

Check one  
☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
INVESTMENT BANKING

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
☐ \$0 - \$1,999 ☐ 11/11  
☐ \$2,000 - \$10,000 ☐ 11/11  
☐ \$10,001 - \$100,000 ☐ ACQUIRED ☐ DISPOSED  
☒ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Sole Proprietorship ☒ Partnership ☐ Other

YOUR BUSINESS POSITION \_\_\_\_\_

► 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

☐ \$0 - \$499 ☐ \$10,001 - \$100,000  
☐ \$500 - \$1,000 ☒ OVER \$100,000  
☐ \$1,001 - \$10,000

► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (INCLUDE A SHORT DESCRIPTION)

SEE ATTACHED LIST

► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST

Check one box:  
☐ INVESTMENT ☐ REAL PROPERTY

Name of Business Entity, if Investment, or  
 Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or  
 City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
☐ \$2,000 - \$10,000 ☐ 11/11  
☐ \$10,001 - \$100,000 ☐ 11/11  
☐ \$100,001 - \$1,000,000 ☐ ACQUIRED ☐ DISPOSED  
☐ Over \$1,000,000

NATURE OF INTEREST  
☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership

☐ Leasehold ☐ Other  
 Yes, remaining

☐ Check box if additional schedules reporting investments or real property are attached

Comments:

► 1. BUSINESS ENTITY OR TRUST

CAMINO PARTNERS, LLC  
 Name  
30950 RANCHO VIEJO RD #120 STE A  
 Address (Business Address Acceptable)  
92675

Check one  
☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
CONSULTING

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
☒ \$0 - \$1,999 ☐ 11/11  
☐ \$2,000 - \$10,000 ☐ 11/11  
☐ \$10,001 - \$100,000 ☐ ACQUIRED ☐ DISPOSED  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Sole Proprietorship ☒ Partnership ☐ Other

YOUR BUSINESS POSITION \_\_\_\_\_

► 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

☒ \$0 - \$499 ☐ \$10,001 - \$100,000  
☐ \$500 - \$1,000 ☐ OVER \$100,000  
☐ \$1,001 - \$10,000

► 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (INCLUDE A SHORT DESCRIPTION)

► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST

Check one box:  
☐ INVESTMENT ☐ REAL PROPERTY

Name of Business Entity, if Investment, or  
 Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or  
 City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  
☐ \$2,000 - \$10,000 ☐ 11/11  
☐ \$10,001 - \$100,000 ☐ 11/11  
☐ \$100,001 - \$1,000,000 ☐ ACQUIRED ☐ DISPOSED  
☐ Over \$1,000,000

NATURE OF INTEREST  
☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership

☐ Leasehold ☐ Other  
 Yes, remaining

☐ Check box if additional schedules reporting investments or real property are attached

Monarch Bay Associates, LLC

David Walters Ownership Interest	37.50%
Mimi Walters Community Property Interest	18.75%

Threshold for Disclosure	\$53,333.33
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Customers that Provided Gross Revenue of Legent Clearing, LLC	\$53,333.33 or Greater:
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Lending Institutions that Provided Loans of None	\$53,333.33 or Greater:
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## Schedule D Income - Gifts

**FAIR POLITICAL PRACTICES COMMISSION**

700

Name

Mimi Walters

**<BLUE> is a required field**

[illegible]